

Symmetry I - Crystals and Atoms

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Table of Contents

1 Symmetry and quantum mechanics

2 Crystal symmetry

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Symmetry and quantum mechanics

Groups and representations

- All symmetry operations g_i (e.g. rotations, reflections, ...) and their “multiplication” \circ form a group (G):
 - Closure: $g_i \circ g_j \in G$.
 - Associativity: $(g_i \circ g_j) \circ g_k = g_i \circ (g_j \circ g_k)$.
 - Unity element: $g_i \circ E = E \circ g_i = g_i$.
 - Inverse element: $g_i \circ g_i^{-1} = g_i^{-1} \circ g_i = E$.
- Effect on state vector in Hilbert space: $|\Psi'\rangle = U(g_i) |\Psi\rangle$
- Expand in basis: **matrix representation**

$$U(g_i) |\psi_n\rangle = \sum_m [\Gamma(g_i)]_{mn} |\psi_m\rangle$$

Symmetry and quantum mechanics

Groups and representations

- Reducible representation:

$$\Gamma' = S^{-1} \Gamma S = \begin{pmatrix} \Gamma_1 & \mathbf{0} & \cdots \\ \mathbf{0} & \Gamma_2 & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix} = \Gamma_1 \oplus \Gamma_2 \oplus \dots$$

- Direct (or Kronecker) product of representations:

$$\begin{aligned} U(g_i)(|\psi_n^{(1)}\rangle |\psi_k^{(2)}\rangle) &= \left(\sum_m [\Gamma_1(g_i)]_{mn} |\psi_m^{(1)}\rangle \right) \left(\sum_l [\Gamma_2(g_i)]_{lk} |\psi_l^{(2)}\rangle \right) \\ &= \sum_{ml} [\Gamma(g_i)]_{ml, nk} |\psi_m^{(1)}\rangle |\psi_l^{(2)}\rangle \end{aligned}$$

Shorthand notation:

$$\Gamma_{ml, nk} = (\Gamma_1 \otimes \Gamma_2)_{ml, nk} = \Gamma_{1, mn} \Gamma_{2, lk}$$

Symmetry and quantum mechanics

Groups and representations

- Physical observable: $\mathcal{A} |\psi_n\rangle = a_n |\psi_n\rangle$
- If \mathcal{A} is invariant for G :

$$[\mathcal{A}, U(g_i)] = \mathcal{A}U(g_i) - U(g_i)\mathcal{A} = 0$$

then

$$\mathcal{A}U(g_i)|\psi_n\rangle = a_n U(g_i)|\psi_n\rangle$$

- Eigenstates of an operator can be labeled by representations of symmetry group

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1 Symmetry and quantum mechanics

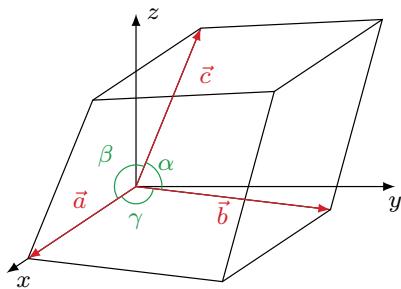
2 Crystal symmetry

3 Atomic symmetry

Terminology

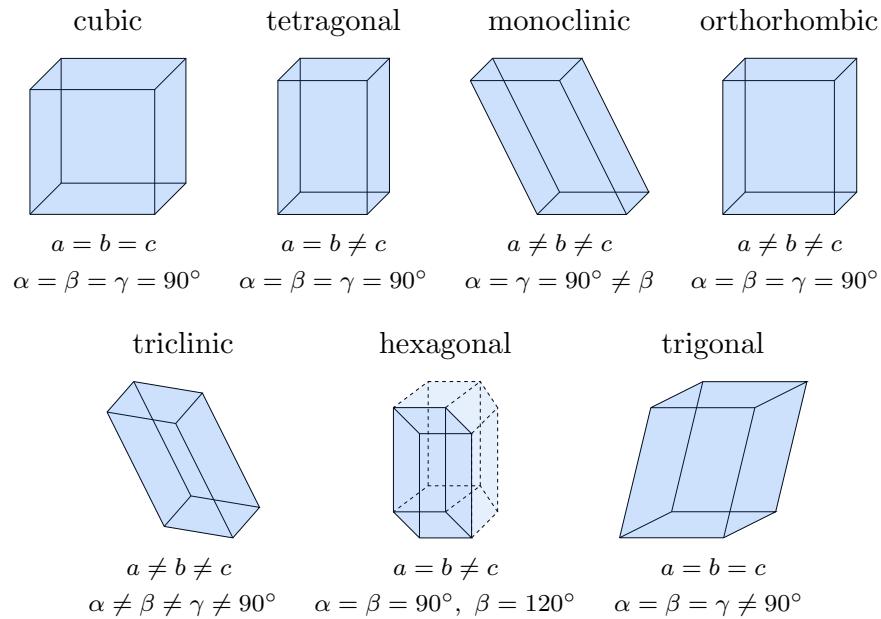
Unit cell

- Crystal: infinite nD periodic array ($n = 3$ in the following)
- Symmetry translations: lattice vectors
- Crystallographic (**affine**) basis: $\vec{a}, \vec{b}, \vec{c}$
- Unit cell: parallelepiped that contains all $\vec{r} = r_1\vec{a} + r_2\vec{b} + r_3\vec{c}$ for which $0 \leq r_1, r_2, r_3 < 1$
- Lattice parameters: $a, b, c, \alpha, \beta, \gamma$



Terminology

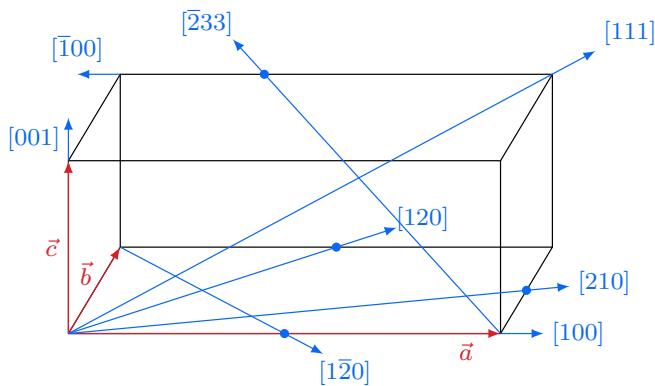
Unit cell



Terminology

Miller indices

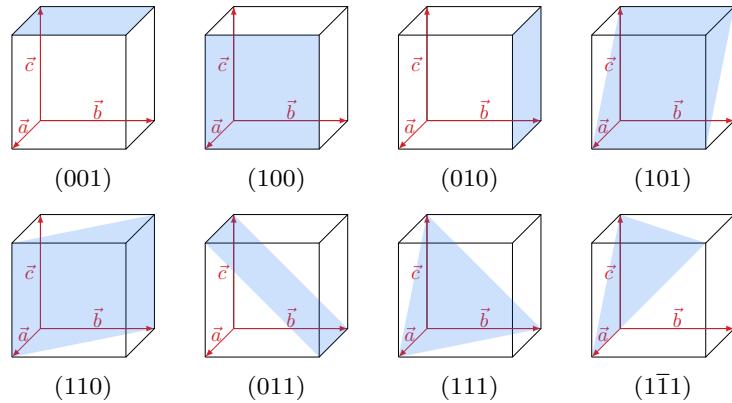
- Direction $[uvw]$: lattice vector $\vec{t} = u\vec{a} + v\vec{b} + w\vec{c}$ with smallest integral u, v, w without common divisor



Terminology

Miller indices

- Direction $[uvw]$: lattice vector $\vec{t} = u\vec{a} + v\vec{b} + w\vec{c}$ with smallest integral u, v, w without common divisor
- Net plane (hkl) (Miller indices): intersects coordinate axes at $a/h, b/k, c/l$ (0 if plane is parallel to axis)
- d_{hkl} : distance between two neighbouring (hkl) planes



Crystal coordinates

Metric tensor

- Definition: $g_{ij} = \vec{e}_i \cdot \vec{e}_j$ for a basis e_i
- Distance between \vec{u} and \vec{v} :

$$d_{uv}^2 = g_{ij}(u_i - v_i)(u_j - v_j) = (\vec{u} - \vec{v}) \cdot \mathbf{g} \cdot (\vec{u} - \vec{v})$$

- Angle between \vec{u}, \vec{v} and \vec{w} (apex \vec{v}):

$$\cos \theta = \frac{1}{d_{uv} d_{vw}} g_{ij}(u_i - v_i)(w_j - v_j) = \frac{(\vec{u} - \vec{v}) \cdot \mathbf{g} \cdot (\vec{w} - \vec{v})}{d_{uv} d_{vw}}$$

- Examples:

- Euclidian space \mathbb{R}^3 ,
Cartesian basis:

$$\mathbf{g} = \mathbb{1}_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Minkowski space $\mathbb{R}^{3,1}$:

$$\mathbf{g} = \boldsymbol{\eta} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

Crystal coordinates

Metric tensor

Euclidian space \mathbb{R}^3 , crystallographic bases, $\det(\mathbf{g}) = V_{\text{unit cell}}$:

- Cubic:

$$\mathbf{g} = \begin{pmatrix} a^2 & 0 & 0 \\ 0 & a^2 & 0 \\ 0 & 0 & a^2 \end{pmatrix}$$

- Orthorombic:

$$\mathbf{g} = \begin{pmatrix} a^2 & 0 & 0 \\ 0 & b^2 & 0 \\ 0 & 0 & c^2 \end{pmatrix}$$

- Hexagonal:

$$\mathbf{g} = \begin{pmatrix} a^2 & -\frac{a^2}{2} & 0 \\ -\frac{a^2}{2} & a^2 & 0 \\ 0 & 0 & c^2 \end{pmatrix}$$

- Monoclinic:

$$\mathbf{g} = \begin{pmatrix} a^2 & 0 & ac \cos \beta \\ 0 & b^2 & 0 \\ ac \cos \beta & 0 & c^2 \end{pmatrix}$$

- Trigonal

$$\mathbf{g} = \begin{pmatrix} a^2 & ab \cos \gamma & ac \cos \beta \\ ab \cos \gamma & b^2 & bc \cos \alpha \\ ac \cos \beta & bc \cos \alpha & c^2 \end{pmatrix}$$

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Isometries

Symmetry operations

- Leaves all angles and distances (i.e. \mathbf{g}) unchanged

- ① Identity:

$$\vec{r}' = \mathbb{1}_3 \vec{r} = \vec{r} \quad \text{or} \quad \begin{pmatrix} r'_1 \\ r'_2 \\ r'_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} r_1 \\ r_2 \\ r_3 \end{pmatrix}$$

- ② Translation:

$$\vec{r}' = \vec{r} + \vec{T} \quad \text{or} \quad \begin{pmatrix} r'_1 \\ r'_2 \\ r'_3 \end{pmatrix} = \begin{pmatrix} r_1 + T_1 \\ r_2 + T_2 \\ r_3 + T_3 \end{pmatrix}$$

- ③ Rotation:

$$\vec{r}' = R \vec{r} \quad \text{or} \quad \begin{pmatrix} r'_1 \\ r'_2 \\ r'_3 \end{pmatrix} = \begin{pmatrix} R_{11} & R_{12} & R_{13} \\ R_{21} & R_{22} & R_{23} \\ R_{31} & R_{32} & R_{33} \end{pmatrix} \begin{pmatrix} r_1 \\ r_2 \\ r_3 \end{pmatrix}$$

with $\det(R) = 1$, i.e. ($R \in SO(3)$) and $\cos \varphi = \frac{1}{2} (\text{Tr}(R) - 1)$

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Isometries

Augmented columns and matrices

- Combine translation (\vec{w}) and rotation (W), $(W|\vec{w})$ (*Seitz symbol*):

$$\vec{r}' = W\vec{r} + \vec{w} \quad \text{or} \quad \begin{pmatrix} r'_1 \\ r'_2 \\ r'_3 \\ 1 \end{pmatrix} = \begin{pmatrix} W_{11} & W_{12} & W_{13} \\ W_{21} & W_{22} & W_{23} \\ W_{31} & W_{32} & W_{33} \end{pmatrix} \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ 1 \end{pmatrix} + \begin{pmatrix} w_1 \\ w_2 \\ w_3 \\ 1 \end{pmatrix}$$

- Shorthand notation:

$$\vec{r} \rightarrow \vec{r}' = \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ 1 \end{pmatrix} \quad \text{and} \quad (W|\vec{w}) \rightarrow \mathbb{W} = \left(\begin{array}{ccc|c} W_{11} & W_{12} & W_{13} & w_1 \\ W_{21} & W_{22} & W_{23} & w_2 \\ W_{31} & W_{32} & W_{33} & w_3 \\ \hline 0 & 0 & 0 & 1 \end{array} \right)$$

- Advantage: use 4x4 matrix algebra

- Consecutive isometries: $(V|\vec{v}) \circ (W|\vec{w}) \rightarrow \mathbb{V} \cdot \mathbb{W}$
- Inverse isometries: $(W|\vec{w})^{-1} \rightarrow \mathbb{W}^{-1}$

Isometries

Symmetry operations (continued))

- 4** Screw rotation: rotation axis and direction of translation coincide. E.g.,

$$\mathbb{W} = \left(\begin{array}{ccc|c} \cos \varphi & -\sin \varphi & 0 & 0 \\ \sin \varphi & \cos \varphi & 0 & 0 \\ 0 & 0 & 1 & w_3 \\ \hline 0 & 0 & 0 & 1 \end{array} \right)$$

- 5** Inversion:

$$\vec{r}' = -\mathcal{P}\vec{r} + \vec{w} = -\mathbb{1}_3\vec{r} + \vec{w} = -\vec{r} + \vec{w}$$

- 6** Rotoinversion: $(S|\vec{0})$ for which $\det(S) = -1$; $S = \mathcal{P}R = R\mathcal{P}$

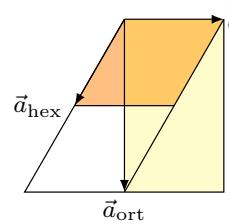
- 7** (Glide) reflections: $(W|\vec{w})$ for which

$$\begin{cases} \det(W) = -1 \\ \text{Tr}(W) = 1 \\ W \neq \mathcal{P} \end{cases}$$

Coordinate transformations

Example 1: From hexagonal to orthorhombic

- Coordinate transformation:



$$\vec{b}_{\text{hex}} = \vec{b}_{\text{ort}}$$

$$\vec{a}_{\text{ort}} = 2\vec{a}_{\text{hex}} + \vec{b}_{\text{hex}}$$

$$\vec{b}_{\text{ort}} = \vec{b}_{\text{hex}}$$

$$\vec{c}_{\text{ort}} = \vec{c}_{\text{hex}}$$

$$\vec{r}_{\text{ort}} = \begin{pmatrix} \frac{1}{2} & 0 & 0 & | & 0 \\ -\frac{1}{2} & 1 & 0 & | & 0 \\ 0 & 0 & 1 & | & 0 \\ 0 & 0 & 0 & | & 1 \end{pmatrix} \vec{r}_{\text{hex}}$$

$$= \mathbf{M} \vec{r}_{\text{hex}}$$

- Transformation of isometry:

$$\mathbf{W}_{\text{hex}} = \begin{pmatrix} 1 & 0 & 0 & | & 0 \\ 1 & -1 & 0 & | & 0 \\ 0 & 0 & 1 & | & \frac{1}{2} \\ \hline 0 & 0 & 0 & | & 1 \end{pmatrix} \quad (\text{glide reflection})$$

$$\mathbf{W}_{\text{ort}} = \mathbf{M} \mathbf{W}_{\text{hex}} \mathbf{M}^{-1} = \begin{pmatrix} 1 & 0 & 0 & | & 0 \\ 0 & -1 & 0 & | & 0 \\ 0 & 0 & 1 & | & \frac{1}{2} \\ \hline 0 & 0 & 0 & | & 1 \end{pmatrix}$$

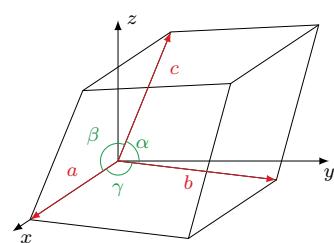
Coordinate transformations

Example 2: From trigonal crystal to Cartesian

- Choice is not unique, e.g.:

$$\vec{a} = \begin{pmatrix} a \\ 0 \\ 0 \end{pmatrix}, \quad \vec{b} = \begin{pmatrix} b \cos \gamma \\ b \sin \gamma \\ 0 \end{pmatrix},$$

$$\vec{c} = \begin{pmatrix} c \cos \beta \\ \frac{c \cos \alpha - \cos \beta \cos \gamma}{\sin \gamma} \\ c \sqrt{1 - \cos \beta - \left(\frac{\cos \alpha - \cos \beta \cos \gamma}{\sin \gamma} \right)^2} \end{pmatrix}$$



Space groups

Mathematical structure

Space group \mathcal{G} : symmetry group of a perfect crystal (all $\mathbf{W} = (W|\vec{w})$): 230 possibilities (Fedorov and Schoenflies, 1891)

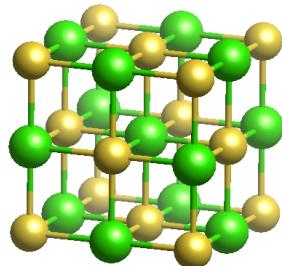
- *Translation group $\mathcal{T} \triangleleft \mathcal{G}$* (i.e. $\mathbf{W}^{-1} \cdot (\mathbf{1}_3|\vec{T}) \cdot \mathbf{W} = (\mathbf{1}_3|\vec{T}') = \text{lattice}$)
- *Point group of crystal $G = \mathcal{G}/\mathcal{T}$* = symmetry group of the bundle of the normals on crystal faces: $G = \{W\}$; isomorphic to $\mathcal{G}/\mathcal{T} = \text{crystal class}$, 32 possibilities
- *Holohedry of point group \mathcal{H} :*
 - Point group of lattice
 - $G \leq \mathcal{H}$
 - index $|\mathcal{H}|/|G|$ as small as possible= **crystal system:** 7 possibilities (triclinic, monoclinic, orthorhombic, tetragonal, trigonal, hexagonal, cubic)

Space groups

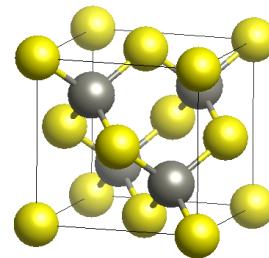
Mathematical structure: point groups

Example: cubic holohedry

- Rocksalt NaCl
 - Holohedral
 - $\mathcal{H} = G = m\bar{3}m$ ($= O_h$)



- Sphalerite ZnS
 - Non-holohedral
 - $\mathcal{H} = m\bar{3}m > G = \bar{4}3m$ ($= T_d$)



Space groups

Mathematical structure: point groups

			trigonal	$\bar{3}$	C_3
triclinic	$\bar{1}$	C_1		$\bar{3}$	C_{3i}
	$\bar{1}$	C_i		32	D_3
monoclinic	2	C_2		$3m$	C_{3v}
	m	C_s		$\bar{3}m$	D_{3d}
	$2/m$	C_{2h}	hexagonal	6	C_6
orthorhombic	222	D_2		$\bar{6}$	C_{3h}
	$mm2$	C_{2v}		$6/m$	C_{6h}
	mmm	D_{2h}		622	D_6
tetragonal	4	C_4		$6mm$	C_{6v}
	$\bar{4}$	S_4		$\bar{6}m2$	D_{3h}
	$4/m$	C_{4h}	6/ mmm	$6/mmm$	D_{6h}
	422	D_4	cubic	23	T
	$4mm$	C_{4v}		$m\bar{3}$	T_h
	$\bar{4}2m$	D_{2d}		432	O
	$4/mmm$	D_{4h}		$\bar{4}3m$	T_d
				$m\bar{3}m$	O_h

Space groups

Mathematical structure: lattices

- Bases:

- Conventional basis: As used by “*International Tables A*” (symmetry-adapted, i.e. user friendliness)
- Primitive basis: All lattice vectors are *integral* linear combinations of basis vectors

- Lattices:

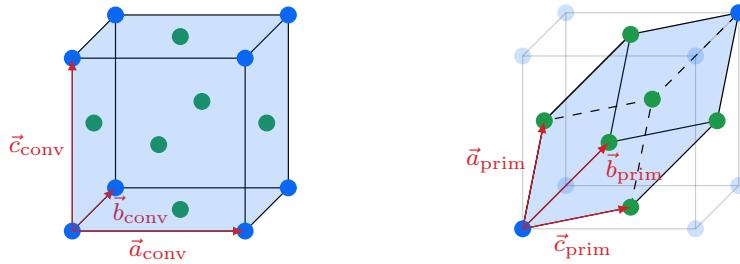
- Primitive (P): primitive basis = conventional basis
- Centered: primitive basis \neq conventional basis
 - Base centered C ($\frac{1}{2}, \frac{1}{2}, 0$) (or A ($0, \frac{1}{2}, \frac{1}{2}$), B ($\frac{1}{2}, 0, \frac{1}{2}$))
 - Face centered F ($0, \frac{1}{2}, \frac{1}{2}$), ($\frac{1}{2}, 0, \frac{1}{2}$) and ($\frac{1}{2}, \frac{1}{2}, 0$)
 - Body centered I ($\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$)
 - Rhombohedral R ($\frac{2}{3}, \frac{1}{3}, \frac{1}{3}$) and ($\frac{1}{3}, \frac{2}{3}, \frac{2}{3}$)

Space groups

Mathematical structure: lattices

Example: FCC, conventional vs. primitive cell

$$\begin{cases} \vec{a}_{\text{prim}} &= \frac{1}{2} (\vec{b}_{\text{conv}} + \vec{c}_{\text{conv}}) \\ \vec{b}_{\text{prim}} &= \frac{1}{2} (\vec{a}_{\text{conv}} + \vec{c}_{\text{conv}}) \\ \vec{c}_{\text{prim}} &= \frac{1}{2} (\vec{a}_{\text{conv}} + \vec{b}_{\text{conv}}) \end{cases}$$



Space groups

Mathematical structure: lattices

- 14 Bravais lattices

name	lattice parameters	centring
cubic primitive (cP)	$a = b = c, \alpha = \beta = \gamma = 90^\circ$	
cubic body-centred (cI)	$a = b = c, \alpha = \beta = \gamma = 90^\circ$	$(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$
cubic face-centred (cF)	$a = b = c, \alpha = \beta = \gamma = 90^\circ$	$(0, \frac{1}{2}, \frac{1}{2}), (\frac{1}{2}, 0, \frac{1}{2}), (\frac{1}{2}, \frac{1}{2}, 0)$
tetragonal primitive (tP)	$a = b \neq c, \alpha = \beta = \gamma = 90^\circ$	
tetragonal body-centred (tI)	$a = b \neq c, \alpha = \beta = \gamma = 90^\circ$	$(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$
orthorhombic primitive (oP)	$a \neq b \neq c, \alpha = \beta = \gamma = 90^\circ$	
orthorhombic body-centred (oI)	$a \neq b \neq c, \alpha = \beta = \gamma = 90^\circ$	$(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$
orthorhombic base-centred (oC)	$a \neq b \neq c, \alpha = \beta = \gamma = 90^\circ$	$(\frac{1}{2}, \frac{1}{2}, 0)$
orthorhombic face-centred (F)	$a \neq b \neq c, \alpha = \beta = \gamma = 90^\circ$	$(0, \frac{1}{2}, \frac{1}{2}), (\frac{1}{2}, 0, \frac{1}{2}), (\frac{1}{2}, \frac{1}{2}, 0)$
hexagonal primitive (hP)	$a = b \neq c, \alpha = \beta = 90^\circ, \gamma = 120^\circ$	
trigonal (rhombohedral) (hR)	$a = b \neq c, \alpha = \beta = 90^\circ, \gamma = 120^\circ$	$(\frac{2}{3}, \frac{1}{3}, \frac{1}{3}), (\frac{1}{3}, \frac{2}{3}, \frac{2}{3})$
rhombohedral (primitive) (rP)	$a = b = c, \alpha = \beta = \gamma \neq 90^\circ$	
monoclinic primitive (mP)	$a \neq b \neq c, \alpha = \gamma = 90^\circ, \beta \neq 90^\circ$	
monoclinic base-centred (mC)	$a \neq b \neq c, \alpha = \gamma = 90^\circ, \beta \neq 90^\circ$	$(\frac{1}{2}, \frac{1}{2}, 0)$
triclinic (aP)	$a \neq b \neq c, \alpha \neq \beta \neq \gamma \neq 90^\circ$	

Space groups

Hermann–Mauguin notation

- First symbol: Bravais lattice
- Next symbols: Symmetry operations along principal axes:
 - Rotation axes (2, 3, 4, 6)
 - Rotoinversion axes ($\bar{2}$, $\bar{3}$, $\bar{4}$, $\bar{6}$)
 - Mirror planes (m)
 - Screw axes (e.g., 2_1 , 3_2 , etc.)
 - Glide planes (a , b , c , n , d , e)
- Order of symmetry operation depend on crystal system (e.g. a,b,c for orthorhombic or \vec{c} , \vec{a} , $\vec{a} - \vec{b}$ for hexagonal)

Space groups

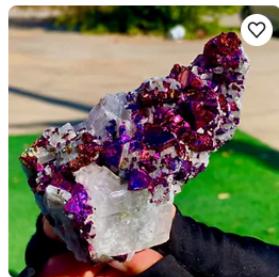
Atomic positions

- Site symmetry group: $G_X \leq G < \mathcal{G}$: leaves X invariant
- Wyckoff positions:
 - Multiplicity: number of symmetry-equivalent positions in unit cell
 - Wyckoff letter (a for highest symmetry)
- Useful website: https://www.cryst.ehu.es/cryst/get_wp.html

Space groups

Example

- Chalcopyrite CuFeS₂
 - Space group: $F\bar{4}3m$ (№ 216)
 - Crystal class: $\bar{4}3m$ (T_d)
 - Crystal system: Cubic (face-centered - F)



440G Natural Colorful Chalcopyrite Calci Crystal ClustRare Mineral Specim
Fabrycznie nowy | Sprzedawca: firma

163,01 zł

Oferty: 6 · Pozostało: 2d 8h (czw., 02:26) · crystal-like688 (2 765) 97,6%
Bezplatna wysyłka za granicę
z: Chiny

<https://www.ebay.pl/>

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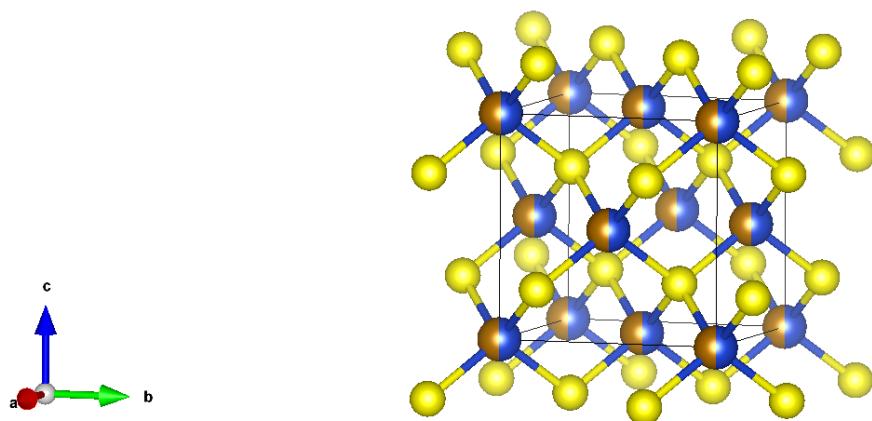
Symmetry

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Basics of crystallography

Practical use



Visualization with VESTA, Momma and Izumi, *J. Appl. Crystallogr.* **44**, 1272 (2011).

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Symmetry

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Basics of crystallography

Practical use: Crystallographic Information File (CIF)

- Basic information: reference, name, chemistry, space group, a , b , c , α , β , γ

```
13 data_1010929
14 loop_
15 _publ_author_name
16 'Burdick, C L'
17 'Ellis, J H'
18 _publ_section_title
19 ;
20 The Crystal Structure of Chalcopyrite Determined by X-Rays
21 ;
22 _journal_coden ASTM          JACSAT
23 _journal_name_full          'Journal of the American Chemical Society'
24 _journal_page_first          2519
25 _journal_page_last           2525
26 _journal_volume              39
27 _journal_year                1917
28 _chemical_compound_source    'from French Creek, Pa.'
29 _chemical_formula_structural 'Cu Fe S2'
30 _chemical_formula_sum        'Cu Fe S2'
31 _chemical_name_mineral       Chalcopyrite
32 _chemical_name_systematic    'Copper iron sulfide'
33 _space_group_IT_number       216
34 _symmetry_cell_setting      cubic
35 _symmetry_Int_Tables_number  216
36 _symmetry_space_group_name_H_M 'F -4 2 3'
37 _symmetry_space_group_name_H-M 'F -4 3 m'
38 _cell_angle_alpha            90
39 _cell_angle_beta             90
40 _cell_angle_gamma            90
41 _cell_formula_units_Z        2
42 _cell_length_a               5.228
43 _cell_length_b               5.228
44 _cell_length_c               5.228
45 _cell_volume                 142.9
```

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Symmetry

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47 cod_database_code 1010929

Basics of crystallography

Practical use: Crystallographic Information File (CIF)

- Basic information: reference, name, chemistry, space group, a , b , c , α , β , γ
- Equivalent positions

```
_symmetry_equiv_pos_as_xyz
x,y,z
y,z,x
z,x,y
x,z,y
y,x,z
z,y,x
x,-y,-z
y,-z,-x
z,-x,-y
x,-z,-y
y,-x,-z
z,-y,-x
-x,y,-z
-y,z,-x
-z,x,-y
-x,z,-y
-y,x,-z
-z,y,-x
-x,-y,z
-y,-z,x
-z,-x,y
-x,-z,y
-y,-x,z
-z,-y,x
x,1/2+y,1/2+z
```

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Symmetry

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```
1/2+x,1/2+y,z
y,1/2+z,1/2+x
1/2+y,z,1/2+x
1/2+y,1/2+z,x
```

Basics of crystallography

Practical use: Crystallographic Information File (CIF)

- Basic information: reference, name, chemistry, space group, a , b , c , α , β , γ
- Equivalent positions
- Atoms; their charges and positions (fractional coordinates)

```
_atom_site_label
_atom_site_type_symbol
_atom_site_symmetry_multiplicity
_atom_site_Wyckoff_symbol
_atom_site_fract_x
_atom_site_fract_y
_atom_site_fract_z
_atom_site_occupancy
_atom_site_attached_hydrogens
_atom_site_calc_flag
Cu1 Cu2+ 4 a 0. 0. 0. 0.5 0 d
Fe1 Fe2+ 4 a 0. 0. 0. 0.5 0 d
S1 S2- 4 c 0.25 0.25 0.25 1. 0 d
loop_
_atom_type_symbol
_atom_type_oxidation_number
Cu2+ 2.000
Fe2+ 2.000
S2- -2.000
```

Basics of crystallography

Practical use: Crystallographic Information File (CIF)

- Basic information: reference, name, chemistry, space group, a , b , c , α , β , γ
- Equivalent positions
- Atoms; their charges and positions (fractional coordinates)
- Wyckoff positions (e.g. Cu is on 4a):
 - Multiplicity (4): number of equivalent positions in unit cell
 - Wyckoff letter (a): alphabetic, ordered with decreasing point symmetry
 - Point group ($\bar{4}3m = T_d$)

Basics of crystallography

Practical use: Crystallographic Information File (CIF)

Wyckoff Positions of Group $F-43m$ (No. 216)

Multiplicity	Wyckoff letter	Site symmetry	Coordinates
			$(0,0,0) + (0,1/2,1/2) + (1/2,0,1/2) + (1/2,1/2,0) +$
96	i	1	$(x,y,z) (-x,-y,z) (-x,y,-z) (x,-y,-z)$ $(z,x,y) (z,-x,-y) (-z,-x,y) (-z,x,-y)$ $(y,z,x) (-y,z,-x) (y,-z,x) (-y,-z,x)$ $(y,x,z) (-y,x,z) (y,-x,z) (-y,x,-z)$ $(x,z,y) (-x,z,-y) (-x,-z,y) (x,-z,-y)$ $(z,y,x) (z,-y,x) (-z,y,x) (-z,-y,x)$
48	h	..m	$(x,x,z) (-x,-x,z) (-x,x,-z) (x,-x,-z)$ $(z,x,x) (z,-x,-x) (-z,-x,x) (-z,x,-x)$ $(x,z,x) (-x,z,-x) (x,-z,x) (-x,-z,x)$
24	g	2.m m	$(x,1/4,1/4) (-x,3/4,1/4) (1/4,x,1/4) (1/4,-x,3/4)$ $(1/4,1/4,x) (3/4,1/4,-x)$
24	f	2.m m	$(x,0,0) (-x,0,0) (0,x,0) (0,-x,0)$ $(0,0,x) (0,0,-x)$
16	e	.3m	$(x,x,x) (-x,-x,x) (-x,x,-x) (x,-x,-x)$
4	d	-43m	$(3/4,3/4,3/4)$
4	c	-43m	$(1/4,1/4,1/4)$
4	b	-43m	$(1/2,1/2,1/2)$
4	a	-43m	$(0,0,0)$

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1 Symmetry and quantum mechanics

2 Crystal symmetry

3 Atomic symmetry

Spherical symmetry

- $O(3)$: orthogonal matrices ($R^T = R^{-1}$) preserve inner product: represent rotations/reflections, $\det R = \pm 1$

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} R_{11} & R_{12} & R_{13} \\ R_{21} & R_{22} & R_{23} \\ R_{31} & R_{32} & R_{33} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

- $SO(3)$: special orthogonal matrices, rotations only, $\det O = 1$
- If R is rotation over angle α along axis \vec{n} :

$$U(R) = \exp -\frac{i}{\hbar} \alpha (\hat{\vec{\ell}} \cdot \vec{n}),$$

i.e. angular momenta are *generators* for rotations

Spherical symmetry

- Spherically symmetric Hamiltonian:

$$[\hat{H}, U(R)] = [\hat{H}, \hat{\ell}_i] = 0 \quad (i = x, y, z)$$

- IRs of $O(3)$ are labels for energy eigenvalues
- $SO(3)$: integer representations: $\ell = 0$ (s), $\ell = 1$ (p), $\ell = 2$ (d), ...
- $SU(2)$: special unitary matrices ($U^\dagger = U^{-1}$), homomorphic (2:1) with $SO(3)$: also half-integer representations (needed for spin, see further)

Spherical symmetry

- IR ℓ is $(2\ell + 1)$ -dimensional and basis functions fulfill

$$\begin{aligned}\hat{\ell}^2 |\ell m_\ell\rangle &= \hbar^2 \ell(\ell + 1) |\ell m_\ell\rangle \\ \hat{\ell}_z |\ell m_\ell\rangle &= \hbar m_\ell |\ell m_\ell\rangle\end{aligned}$$

- Also useful:

$$\hat{\ell}_\pm |\ell m_\ell\rangle = (\hat{\ell}_x \pm i\hat{\ell}_y) |\ell m_\ell\rangle = \hbar \sqrt{\ell(\ell + 1) - m_\ell(m_\ell \pm 1)} |\ell m_\ell \pm 1\rangle$$

Spherical symmetry

- Wigner- \mathcal{D} matrices:

$$U(R) |\ell m_\ell\rangle = \sum_{m'=-\ell}^{\ell} \mathcal{D}_{m'm}^{(\ell)}(R) |\ell m_\ell\rangle$$

- Clebsch-Gordan series:

$$\begin{aligned}(\mathcal{D}^{(\ell_1)} \otimes \mathcal{D}^{(\ell_2)})_{m_1 m_2, m'_1 m'_2} &= \mathcal{D}_{m_{\ell_1} m_{\ell_1'}}^{(\ell_1)}(R) \mathcal{D}_{m_{\ell_2} m_{\ell_2'}}^{(\ell_2)}(R) \\ &= \sum_{\ell=|\ell_1-\ell_2|}^{\ell_1+\ell_2} \sum_{m,m'=-\ell}^{\ell} \langle \ell_1 \ell_2 m_1 m_2 | \ell_1 \ell_2 \ell m \rangle \\ &\quad \times \langle \ell_1 \ell_2 m'_1 m'_2 | \ell_1 \ell_2 \ell m' \rangle \mathcal{D}_{mm'}^{(\ell)}(R)\end{aligned}$$

shorthand notation:

$$\ell_1 \otimes \ell_2 = \bigoplus_{\ell=|\ell_1-\ell_2|}^{\ell_1+\ell_2} \ell$$

Atomic Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_2$$

- Bohr Hamiltonian:

$$\hat{H}_0 = \sum_{i=1}^N \left(\frac{-\hbar^2}{2m_e} \hat{\nabla}_i^2 - \frac{Ze^2}{4\pi\epsilon_0 r_i} \right)$$

- Inter-electronic Coulomb repulsion:

$$\hat{H}_1 = \frac{1}{2} \sum_{i=1}^N \sum_{i=j}^N \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|}$$

- Spin-orbit coupling:

$$\hat{H}_2 = \sum_{i=1}^N \xi(r_i) \hat{\vec{\ell}}_i \cdot \hat{\vec{s}}_i$$

Atomic Hamiltonian

Good quantum numbers

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_2$$

- Single-electron quantum numbers:

- $\ell_i, m_{\ell_i}, s_i, m_{s_i}$ good quantum numbers for eigenstates of \hat{H}_0 :

$$[\hat{\ell}_i^2, \hat{H}_0] = [\hat{\ell}_{z_i}, \hat{H}_0] = [\hat{s}_i^2, \hat{H}_0] = [\hat{s}_{z_i}, \hat{H}_0] = 0$$

- No good quantum numbers for \hat{H}_1 ($\propto 1/r_{ij}$):

$$[\hat{\ell}_i^2, \hat{H}_1] \neq 0 \quad \text{and} \quad [\hat{\ell}_{z_i}, \hat{H}_1] \neq 0$$

Atomic Hamiltonian

Good quantum numbers

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_2$$

- Single-electron quantum numbers:
 - $\ell_i, m_{\ell_i}, s_i, m_{s_i}$ good quantum numbers for eigenstates of \hat{H}_0
 - No good quantum numbers for \hat{H}_1 ($\propto 1/r_{ij}$)
- Multi-electron quantum numbers:

$$\hat{\vec{L}} = \sum_{i=1}^N \hat{\vec{\ell}}_i \quad \hat{\vec{S}} = \sum_{i=1}^N \hat{\vec{s}}_i$$

- L, M_L good quantum numbers for eigenstates of \hat{H}_1 :

$$[\hat{L}^2, \hat{H}_1] = [\hat{L}_z, \hat{H}_1] = [\hat{S}^2, \hat{H}_1] = [\hat{S}_z, \hat{H}_1] = 0$$

Atomic Hamiltonian

Good quantum numbers

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_2$$

- Single-electron quantum numbers:
 - $\ell_i, m_{\ell_i}, s_i, m_{s_i}$ good quantum numbers for eigenstates of \hat{H}_0
 - No good quantum numbers for \hat{H}_1 ($\propto 1/r_{ij}$)
- Multi-electron quantum numbers
 - L, M_L good quantum numbers for eigenstates of \hat{H}_1 :
- Spin-orbit coupling
 - $\ell_i, m_{\ell_i}, s_i, m_{s_i}, L, M_L, S, M_S$ no good quantum numbers for \hat{H}_2 ($\propto \hat{\vec{L}} \cdot \hat{\vec{S}}$)
 - Further couple momenta:

$$\hat{j}_i = \hat{\vec{\ell}}_i + \hat{\vec{s}}_i \quad \hat{\vec{J}} = \hat{\vec{L}} + \hat{\vec{S}}$$

- Both single-electron quantum numbers j_i and m_{j_i} as well as multi-electron quantum numbers J and M_J are good:

$$[\hat{j}_i^2, \hat{H}_2] = [\hat{j}_{z_i}, \hat{H}_2] = [\hat{J}^2, \hat{H}_2] = [\hat{J}_z, \hat{H}_2] = 0$$

Atomic Hamiltonian

Good quantum numbers

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_2$$

- Single-electron quantum numbers:
 - $\ell_i, m_{\ell_i}, s_i, m_{s_i}$ good quantum numbers for eigenstates of \hat{H}_0
 - No good quantum numbers for \hat{H}_1 ($\propto 1/r_{ij}$)
- Multi-electron quantum numbers
 - L, M_L good quantum numbers for eigenstates of \hat{H}_1 :
- Spin-orbit coupling
 - $\ell_i, m_{\ell_i}, s_i, m_{s_i}, L, M_L, S, M_S$ no good quantum numbers for \hat{H}_2 ($\propto \hat{\vec{L}} \cdot \hat{\vec{S}}$)
 - Both single-electron quantum numbers j_i and m_{j_i} as well as multi-electron quantum numbers J and M_J are good
- Only J and M_J common ground for \hat{H}_0, \hat{H}_1 and \hat{H}_2

Atomic Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_2$$

- Bohr Hamiltonian:

$$\hat{H}_0 = \sum_{i=1}^N \left(\frac{-\hbar^2}{2m_e} \hat{\nabla}_i^2 - \frac{Ze^2}{4\pi\epsilon_0 r_i} \right)$$

- Inter-electronic Coulomb repulsion:

$$\hat{H}_1 = \frac{1}{2} \sum_{i=1}^N \sum_{i=j}^N \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|}$$

- Spin-orbit coupling:

$$\hat{H}_2 = \sum_{i=1}^N \xi(r_i) \hat{\vec{\ell}}_i \cdot \hat{\vec{s}}_i$$

Atomic Hamiltonian

Towards approximate solutions with perturbation theory

$$\hat{H} = \hat{H}'_0 + \hat{H}'_1 + \hat{H}_2$$

- Central field Hamiltonian:

$$\hat{H}'_0 = \left(\sum_{i=1}^N \frac{-\hbar^2}{2m_e} \hat{\nabla}_i^2 - \frac{Ze^2}{4\pi\epsilon_0 r_i} \right) + \sum_{i=1}^N V(r_i)$$

- Russell-Saunders Hamiltonian:

$$\hat{H}'_1 = \frac{1}{2} \sum_{i=1}^N \sum_{j \neq i}^N \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|} - \sum_{i=1}^N V(r_i)$$

- Spin-orbit coupling:

$$\hat{H}_2 = \sum_{i=1}^N \xi(r_i) \hat{\vec{\ell}}_i \cdot \hat{\vec{s}}_i$$

Atomic Hamiltonian

Towards approximate solutions with perturbation theory

- Different choices rationalized from “coupling schemes”

$$\hat{H} = \hat{H}'_0 + \hat{H}'_1 + \hat{H}_2$$

	term splitting (RS)	multiplet splitting (SO)
3d	1-10 eV	0.1 eV
4d	1-10 eV	0.1-1 eV
5d	1-10 eV	1 eV
4f	1-10 eV	0.1-1 eV
5f	1-10 eV	1 eV
5p	1-10 eV	1 eV
6p	1-10 eV	1 eV

Atomic Hamiltonian

Towards approximate solutions with perturbation theory

$$\hat{H} = \hat{H}'_0 + \hat{H}'_1 + \hat{H}_2$$

- For most atoms $\hat{H}'_0 \gg \{\hat{H}'_1, \hat{H}_2\}$ holds.
- Perturbation sequence in three steps:

coupling scheme	LS	jj
step 0	$\hat{H}'_0 (\ell_i, m_{\ell_i}, s_i, m_{s_i})$	
step 1	$\hat{H}'_1 (L, M_L, S, M_S)$	$\hat{H}_2 (j_i, m_{j_i})$
step 2	$\hat{H}_2 (J, M_J)$	$\hat{H}'_1 (J, M_J)$

Atomic Hamiltonian

Towards approximate solutions with perturbation theory

$$\hat{H} = \hat{H}'_0 + \hat{H}'_1 + \hat{H}_2$$

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Atomic Hamiltonian

Towards approximate solutions with perturbation theory

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step 2	$\hat{H}_2 (J, M_J)$	$\hat{H}'_1 (J, M_J)$

Multi-electron basis states: *LS* coupling

Step 0: central field Hamiltonian

$$\hat{H}'_0 \Psi = E_0 \Psi \quad \text{with} \quad \hat{H}'_0 = \sum_{i=1}^N \hat{h}'_0(i)$$

- Independent particle model (IPM):

$$h'_0(i)\psi_i(i) = \epsilon_i \psi_i(i) \quad (\psi_i(i) \text{ orbitals / single-electron wave functions})$$

- Radial symmetry ($O(3)$):

$$\psi_{n_i \ell_i m_{\ell_i} s_i m_{s_i}}(x_i) = R_{n_i \ell_i}(r_i) Y_{\ell_i m_{\ell_i}}(\Omega_i) \chi_{s_i m_{s_i}}(i)$$

- Multi-electron wave function:

$$\Psi_{\text{centr.field}}(x_1, \dots, x_N) = \prod_{i=1}^N \psi_{n_i \ell_i m_{\ell_i} s_i m_{s_i}}(x_i)$$

- Total energy: $E_{\text{centr.field}} = \sum_{i=1}^N \epsilon_{n_i \ell_i m_{\ell_i} s_i m_{s_i}}$

Multi-electron basis states: LS coupling

Step 0: central field Hamiltonian

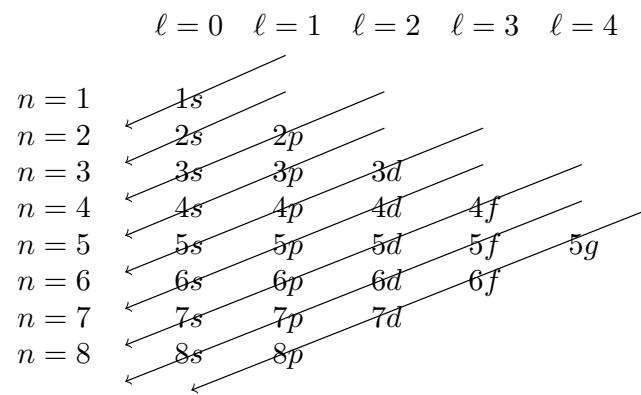
- Independent particle model (IPM)
- Radial symmetry ($O(3)$)
- Multi-electron wave function: **Slater determinants**
 - Pauli exclusion principle must be respected!

$$\begin{aligned}\Psi_{\text{centr.field}}(x_1, \dots, x_N) &= \hat{\mathcal{A}} \prod_{i=1}^N \psi_{n_i \ell_i m_{\ell_i} s_i m_{s_i}}(x_i) \\ &= \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & \psi_2(1) & \dots & \psi_N(1) \\ \psi_1(2) & \psi_2(2) & \dots & \psi_N(2) \\ \vdots & \vdots & \dots & \vdots \\ \psi_1(N) & \psi_2(N) & \dots & \psi_N(N) \end{vmatrix} \\ &= |\psi_1 \psi_2 \dots \psi_N|\end{aligned}$$

Multi-electron basis states: LS coupling

Step 0: central field Hamiltonian

- Independent particle model (IPM)
- Multi-electron wave function: Slater determinants
- Consequence of \hat{H}'_0 : *aufbau principle*



Multi-electron basis states: LS coupling

Step 0: central field Hamiltonian

1 H																		2 He
3 Li	4 Be																	
11 Na	12 Mg																	
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr	
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe	
55 Cs	56 Ba	57 La*	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn	
87 Fr	88 Ra	89 Ac*	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og	

*	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu
**	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr

Multi-electron basis states: LS coupling

Step 1: Russell-Saunders Hamiltonian

$$\hat{H} = \hat{H}'_0 + \hat{H}'_1 + \hat{H}_2$$

- For most atoms $\hat{H}'_0 \gg \{\hat{H}'_1, \hat{H}_2\}$ holds.
 - Perturbation sequence in three steps:

coupling scheme	LS	jj
step 0	$\hat{H}'_0 (\ell_i, m_{\ell_i}, s_i, m_{s_i})$	
step 1	$\hat{H}'_1 (L, M_L, S, M_S)$	$\hat{H}_2 (j_i, m_{j_i})$
step 2	$\hat{H}_2 (J, M_J)$	$\hat{H}'_1 (J, M_J)$

Multi-electron basis states: LS coupling

Step 1: Russell-Saunders Hamiltonian

- Select a configuration of interest, $n_1\ell_1^{N_1} \dots n_r\ell_r^{N_r}$, with $N_1 + \dots + N_r = N$ and degeneracy

$$\prod_{i=1}^r \binom{4\ell_i + 2}{N_i} = \prod_{i=1}^r \frac{(4\ell_i + 2)!}{N_i!(4\ell_i + 2 - N_i)!}$$

- Diagonalization of \hat{H}_1' requires basis transformation:

$$|m_{s_1} \dots m_{s_N} \rangle \rightarrow |LM_L S M_S\rangle$$

- Allowed L and S values found by coupling,

$$S = s_1 + s_2, s_1 + s_2 - 1, \dots, |s_1 - s_2|$$

$$L = \ell_1 + \ell_2, \ell_1 + \ell_2 - 1, \dots, |\ell_1 - \ell_2|$$

however within restrictions by **Pauli exclusion principle (!)**

Multi-electron basis states: LS coupling

Step 2: spin-orbit Hamiltonian

$$\hat{H} = \hat{H}'_0 + \hat{H}'_1 + \hat{H}_2$$

- For most atoms $\hat{H}'_0 \gg \{\hat{H}'_1, \hat{H}_2\}$ holds.
- Perturbation sequence in three steps:

coupling scheme	LS	jj
step 0	$\hat{H}'_0 (\ell_i, m_{\ell_i}, s_i, m_{s_i})$	
step 1	$\hat{H}'_1 (L, M_L, S, M_S)$	$\hat{H}_2 (j_i, m_{j_i})$
step 2	$\hat{H}_2 (J, M_J)$	$\hat{H}'_1 (J, M_J)$

Multi-electron basis states: LS coupling

Step 2: spin-orbit Hamiltonian

- For every subspace labeled by LS (^{2S+1}L in spectroscopic notation, “term”) of degeneracy $(2S + 1)(2L + 1)$
- Diagonalization of \hat{H}_2 requires another basis transformation:

$$|LM_LSM_S\rangle \rightarrow |LSJM_J\rangle$$

- Allowed J values are straightforwardly found by coupling,

$$J = L + S, L + S - 1, \dots, |L - S|$$

- Leading to subsubspaces labeled by LSJ ($^{2S+1}L_J$ in spectroscopic notation, “multiplet”) of degeneracy $(2J + 1)$

Example: Configurations, terms and multiplets for N

Configurations

- The ground state configuration is readily found from the *aufbau* principle ($Z = 7$).
 - N: [He] $2s^22p^3$

Example: Configurations, terms and multiplets for N

Terms

- Number of Slater determinants: $\frac{6!}{3!3!} = 20$
- Pauli's exclusion principle must be respected: use (M_L, M_S) table:

	2	1	0
$\frac{3}{2}$			$(\overset{++}{1}\overset{+}{0}-\overset{+}{1})$
$\frac{1}{2}$	$(\overset{+-}{1}\overset{-}{0}\overset{+}{0})$	$(\overset{+-}{1}\overset{-}{1}), (\overset{++}{1}\overset{+}{0}\overset{-}{0})$	$(\overset{++}{1}\overset{-}{1}), (\overset{+-}{1}\overset{-}{0}\overset{+}{1}), (\overset{-+}{1}\overset{+}{0}\overset{-}{1})$
	2D	2D 2P	4S 2D 2P

- This means e.g. that

$$|p^3(^2D), M_L = 1, M_S = 1/2\rangle = c_1(\overset{+-}{1}\overset{-}{1} - \overset{+}{1}) + c_2(\overset{++}{1}\overset{+}{0}\overset{-}{0})$$

$$|p^3(^2P), M_L = 1, M_S = 1/2\rangle = c_3(\overset{+-}{1}\overset{-}{1} - \overset{+}{1}) + c_4(\overset{++}{1}\overset{+}{0}\overset{-}{0})$$

i.e. terms are multiconfigurational wave functions

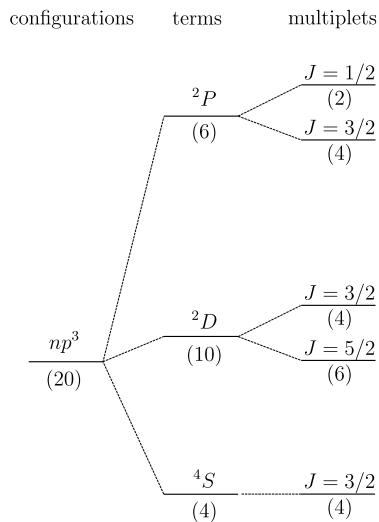
Example: Configurations, terms and multiplets for N

Multiplets

- Angular momentum coupling: $J = |L - S| \dots L + S$
- 4S ($L = 0, S = 3/2$): $J = 3/2$, no splitting
- 2D ($L = 2, S = 1/2$): $J = 5/2, 3/2$ or $2 \otimes 1/2 = 5/2 \oplus 3/2$
- 2P ($L = 1, S = 3/2$): $J = 3/2, 1/2$ or $1 \otimes 3/2 = 3/2 \oplus 1/2$

Example: Configurations, terms and multiplets for $N = 1$

Energy level scheme



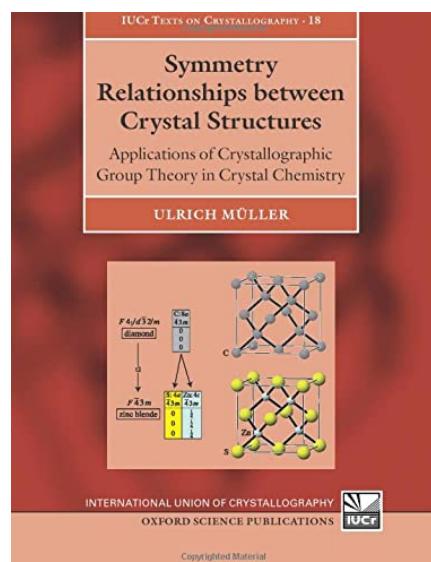
Configuration	Term	J	Level (cm ⁻¹)
2s ² 2p ³	⁴ S°	³ / ₂	0.000
2s ² 2p ³	² D°	⁵ / ₂	19 224.464
		³ / ₂	19 233.177
2s ² 2p ³	² P°	¹ / ₂	28 838.920
		³ / ₂	28 839.306
2s ² 2p ² (³ P)3s	⁴ P	¹ / ₂	83 284.070
		³ / ₂	83 317.830
		⁵ / ₂	83 364.620
2s ² 2p ² (³ P)3s	² P	¹ / ₂	86 137.350
		³ / ₂	86 228.510

\hat{H}'_0	\hat{H}'_1	\hat{H}_2
central field	Russell– Saunders	spin- orbit

[https://www.nist.gov/pml/
atomic-spectra-database](https://www.nist.gov/pml/atomic-spectra-database)

Literature

Crystal symmetry



Literature

Atomic symmetry

